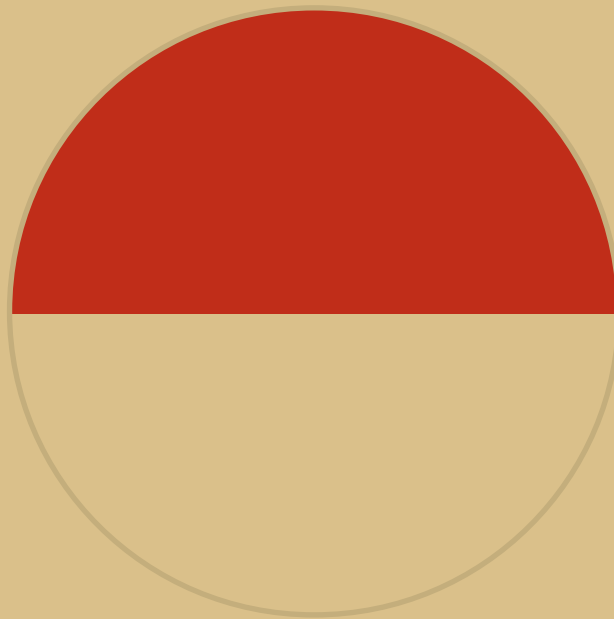


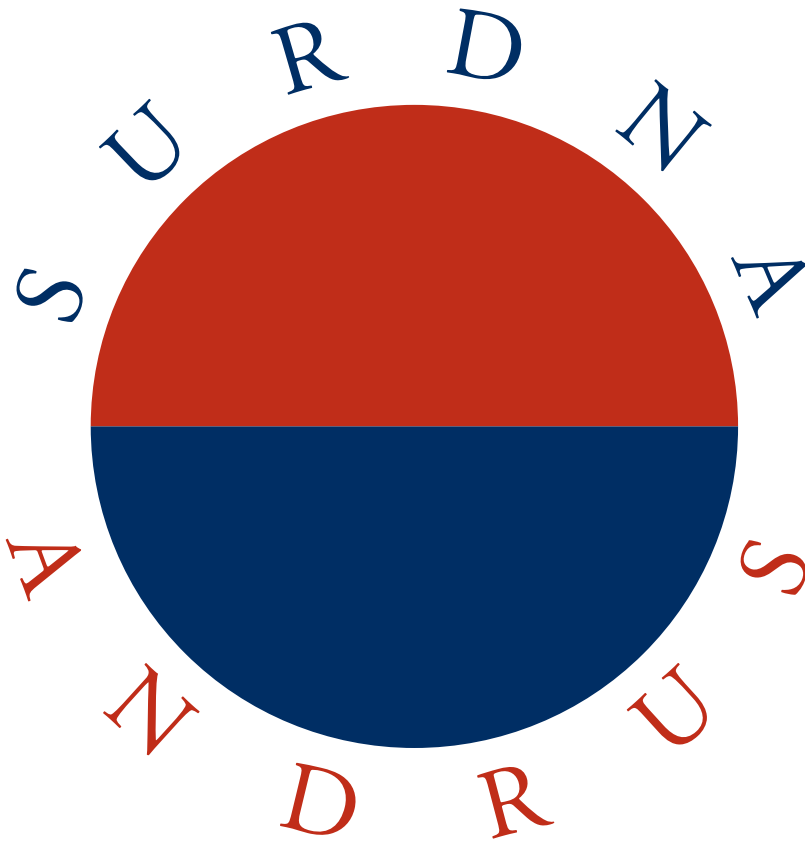
Surdna Foundation, Inc.

2006 ANNUAL REPORT, GRANT GUIDELINES AND APPLICATION PROCEDURES



A FAMILY FOUNDATION ESTABLISHED BY JOHN E. ANDRUS IN 1917

2006



**SURDNA FOUNDATION, INC.
JULY 1, 2005 TO JUNE 30, 2006
ANNUAL REPORT, GRANT GUIDELINES
AND APPLICATION PROCEDURES**

A Brief History

The Surdna Foundation was established in 1917 by John Emory Andrus to pursue a range of philanthropic purposes.

John Andrus (1841-1934) was born in Pleasantville, New York, graduated from Wesleyan University and soon moved from teaching school to pursuing his talents as an investor and businessman. His primary operating business, the Arlington Chemical Company, manufactured typical medicines of the late 1800s and distributed them worldwide. Mr. Andrus' extraordinary skills, however, lay in finding and purchasing undervalued assets, usually in partnership with a knowledgeable operator. His holdings included several buildings and land in Minneapolis, Minnesota, large timber tracts in California, and mineral-rich acres in New Mexico as well as significant land holdings in Florida, New Jersey, and Alaska.

The son of a Methodist minister, Mr. Andrus was active as a lay leader of the Methodist Church. In his 60s, he was elected mayor of Yonkers, New York, and then served four terms in the U.S. Congress. He held long-term posts as a trustee of Wesleyan University and as a director of New York Life Insurance Company.

A devoted family man with eight children, he founded the Julia Dyckman Andrus Memorial in 1923 as a tribute to his beloved wife. She had been orphaned as a child, and Mr. Andrus took the old Dyckman farm in Westchester County, New York, and established an orphanage. Later, in 1953, his youngest child, Helen Benedict, then chairwoman of Surdna, built the John E. Andrus Memorial, a retirement home for 200 elderly residents on land adjacent to the Julia Dyckman Andrus Memorial. She and the Foundation thereby completed his expressed wish that his legacy provide "opportunity for youth and rest for old age." In the early 1970s, the board of the Julia Dyckman Andrus Memorial shifted its programmatic emphasis to serve as a residential treatment, special education and diagnostic center for emotionally disabled children.

Family stewardship of Surdna has been informed by Mr. Andrus' values: thrift, practicality, modesty, loyalty, excellence, and an appreciation for direct service to those in need. These values have been applied both to oversight of the two Memorials and to more general grant programs. In 1989, the third and fourth generations of the Andrus family on the Surdna board established programs in environment and community revitalization and decided to enlarge the professional staff to broaden the Foundation's effectiveness. In 1994, programs in effective citizenry and the arts were added. The Nonprofit Sector Program was added in 1997 to address crosscutting issues affecting the sector.

Letter from the Board of Directors

There is little to add to Ed's eloquent, heartfelt farewell letter. Life-altering moments, gratitude, humor, wisdom, appreciation, friendship, support, and amazement are experiences and emotions we were able to share with Ed during his eighteen years as part of our family and our foundation. We have a profound sense of gratitude to Ed Skloot for being the leader and collaborator that he is and to John Andrus, III, for having the wisdom to lead the board in choosing Ed eighteen years ago.

Thank you Ed, it has been a terrific time for all of us!



John Hawkins
Chairperson

Letter from the President

Thank You

“Time it was and what a time it was...” (Old Friends, Simon and Garfunkel)

This is my final Annual Report letter. In June 2007, I'll leave the Surdna Foundation after 18 years as its founding CEO. Almost half my working life. And what a time it's been.

Sometimes we can identify an event or experience that alters our entire life's course. I can. It was the moment I was offered the job of Executive Director of the Surdna Foundation by its then Chairman, John E. Andrus, III, in the spring of 1989. John, whom I had met twice, briefly, invited me to lunch in Minneapolis (where he lives) for the final handshake. A “done deal,” I thought.

Here is the conversation (as I recall it), over tomato juice, at the Minneapolis Club:

Well, Ed, glad to have you with us.

It's my pleasure, Mr. Andrus, and I'm honored.

You know, you weren't our first choice, Ed.

(Crushing silence, heart rate doubles, left hand checks to see if plane ticket is still in pocket.)

No, sir.

Actually, you weren't our second choice, either.

(Breath shortens, posture crumples, thought turns to taking earlier plane. Excruciatingly long pause.)

So I guess you'd like to know why we chose you.

Yes sir, I guess I would.

Well, we decided we'd rather ask you to slow down than have to ask all the others to speed up.

I gave a wan smile, looked up and was met by the proud grin of John Andrus. He shook my hand hard. The news from Minnesota was good. And then the light bulb went on.

In one sentence, John Andrus told me all I needed to know about the foundation I was about to join. John was leading the Andrus family into a new, uncharted era – from a close, sometimes almost hermetic family operation, to a family foundation that would be professionally staffed and openly run. John’s hope was that we could move fast into the 1990’s, but not too fast, since there was a lot of cultural and institutional change that had to occur at this 72-year old foundation.



John Andrus, now Surdna’s Chair Emeritus, is the wisest, funniest and canniest person I ever met. He’s a gifted, balanced leader devoted to public service and a thoughtful practitioner of the long view. He taught me without my knowing it, reminded me of imminent foot faults with homey aphorisms (“You can only peel one potato at a time.”) and set a tone of fairness and ethical conduct that have helped me to constantly discover Surdna’s most positive goals and how to go after them.

I hope I’ve met expectations. I know I’ve tried, and that the successful endeavors we, board and staff, have together been able to achieve, derive directly from the vision of this wonderful man. John brought out the best I have to offer and opened my eyes and heart to a world rich in opportunity, challenge and creativity that simply had to be seized to be believed.

I deeply appreciate all the members of the Andrus family as well. They served on the board and its committees and, in a more informal way, helped me during these 18 years. They supported me and prodded me to keep true to the values of the family. I believe they have themselves grown in knowledge and commitment since way back in those uncertain early years. Slowly, steadily, we have brought into creation a strong, well-functioning board of directors, a board-staff enterprise marked by openness and genuine friendship and an

increasingly participatory grantmaking environment among all who are charged with framing and implementing Surdna's goals.

As well, I want to thank the Surdna staff; smart, entrepreneurial, stalwart, fair-minded – in the words of the old coffee commercial, “good to the last drop.” Of course, I'm deeply biased, since I had the pleasure of hiring them all. Any hope I'd had for their success has been vastly exceeded by their natural talents, which are on display daily for all to see. The staff has really been a dream to work with. While as different as can be, they have found deep roots of respect and friendship that have made Surdna a genuinely happy community. Often, I think, we don't know we're working at all.

Finally, there is my amazement and gratitude for our several thousand grantee-partners. Having run a nonprofit organization for nine years before coming to Surdna, I know how tough it can be to achieve the most difficult social goals and still meet payroll month after month. We've done our best to be helpful – with hard money, useful advice, a decent lunch, a soft shoulder and hearty cheers. But success really is theirs, for they are the public face and the beating heart of the voluntary sector. It's nothing less than an honor to support their determined, optimistic efforts to make the world a better place.



What to make of it all? That's not mine to judge. But I do fervently believe that Surdna has gone from re-birth well through its adolescence with energy, clarity, honor and decency. We began the decade of the 90s as a veritable unknown and have evolved to a serious leadership place in our program areas and in philanthropy. We've sought to collaborate with others, listen constantly, speak the truth, expand how we and colleagues think and act – and stand unequivocally for fairness, opportunity and equity. And what a terrific time it's been.

A handwritten signature in black ink, reading "Edward Skloot".

Edward Skloot
President

Our Approach to Grantmaking

We are interested in fostering catalytic, entrepreneurial programs that offer viable solutions to difficult systemic problems.

We seek high-quality, direct-service programs that advance our philanthropic goals.

We enjoy collaboration with our grantees and seek to work with them in supportive, collegial relationships.

We recognize the importance of collaborating with other foundations to build joint, diverse programs and to leverage our funding with that of other foundations, corporations, and governmental bodies.

We make both project and general support grants. We do not generally fund individuals, capital campaigns, building construction, or projects that are internationally based or focused.

We are committed:

- To respecting those seeking grants by demonstrating promptness, courtesy, responsiveness, and objectivity in assessing how their grant requests meet our philanthropic goals.
- To communicating honestly and directly with those seeking support.
- To demonstrating both accountability to and support for our grantees after a grant is made.

Environment

A healthy natural environment is the foundation upon which human communities flourish. Today, the environment is at great risk due to the interrelated threats of global climate change, biodiversity loss and unsustainable levels of resource consumption. To address these threats, Surdna's Environment Program has chosen four focus areas where we feel we can make a meaningful contribution in the United States: Biological Diversity and the Human Communities That Depend On It; Realigning Human and Natural Systems; Transportation and Urban/Suburban Land Use; and Energy.

Our goals are to prevent irreversible damage to the environment and to promote more efficient, economically sound, environmentally beneficial and equitable use of land and natural resources.

Our Grantmaking Principles include:

- Supporting government, private and voluntary actions;
- Preferring redesign to eliminate problems rather than amelioration to deal with them after-the-fact;
- Building bridges, defusing conflict and bringing diverse constituencies together;
- Encouraging a diversity of people and interests to participate in addressing environmental concerns;
- Supporting multi-sectoral approaches and partnerships, and recognizing the interdependence of sectors and disciplines;
- Fostering a population of environmentally informed, responsible, activist citizens;
- Respecting community and grassroots perspectives.

—— **Biological Diversity and the** —— **Human Communities That Depend On It**

Biological diversity is at risk due to the degradation and loss of habitat and species from factors such as climate change, over-fishing, deforestation and land development. Often, the human communities that experience the most immediate consequences of stressed ecosystems are those that have the most interdependent relationship with the resource base,

for example, forestry and fishing communities. We are losing both the resilience of our natural systems and their wide and still untapped range of products and services. Ultimately, we all pay a high price for the loss of biodiversity.

With primary focus on forest and marine ecosystems and habitat, examples of our interests are:

Promoting effective resource management strategies including market-based approaches that ensure species preservation; translating scientific concerns and findings into public policy; promoting public policies that ensure species preservation; and creating programs that raise broad public awareness of these issues.

—— **Realigning Human and Natural Systems** ——

Today's environmental challenges are more complex and numerous than the constituencies that are mobilized to address them. The negative environmental impact of human systems (business, government, etc.) can be minimized through efforts that work skillfully with underlying cultural, spiritual and economic values to align human and natural systems. In the process, there is promise for bringing new voices, new perspectives and new solutions to the environmental movement.

Accordingly, we have a primary focus on informing and connecting people to better understand environmental problems and opportunities, and urging them to participate in solutions at the individual level, at the community level, in business and in government. While Surdna does not fund environmental education in the traditional sense, (i.e. local and community-based education programs, curriculum development, etc.) communication with the public about particular environmental issues is a strategic component of much of what we support throughout the program.

Examples of our interests are:

Forging new links (for example, between religion, environment and science); linking market behavior to environmental change; creating leadership programs that stimulate environmental awareness; and encouraging government, the private sector and the individual to adopt cost-effective, environmentally efficient practices.

— Transportation and Urban/Suburban Land Use —

The prevailing land use and transportation systems of the U.S. are wasteful of natural resources and damaging to the structure and health of ecosystems, human communities and individual lives. It is increasingly recognized that reforms in these areas are also a high-impact and valuable climate change mitigation strategy.

With primary focus on reducing vehicle miles traveled and maximizing accessibility over mobility, examples of our interests are:

Analyzing government policies and subsidies regarding the automobile and fostering alternative solutions; strengthening efforts to improve public policy that produces locally sensitive solutions; supporting community involvement on transportation and land use reform; supporting programs that foster open space, park land creation, urban conservation, and broadly, livability; advocating consumer choice in the marketplace.

In 1999, Surdna launched a special initiative on smart growth and community livability. The initiative targeted New Jersey, Maryland, New Mexico, and Salt Lake City, Utah. Grantmaking under this initiative has concluded.

— Energy —

Our current energy generation and distribution system was built around fossil fuel sources that have significant negative environmental and human health impacts. Further, our energy consumption patterns are not sustainable. As the impact of climate change becomes more apparent, the urgency of creating a more sustainable energy future increases.

With primary focus on promoting renewable energy and energy efficiency, examples of our interests are:

Promoting market-based solutions and alternative financing to encourage the use of clean energy; promoting policy and regulatory reform; supporting grassroots education and leadership; supporting community sustainability; and supporting regional climate change mitigation efforts.

Generally, We Do Not Support:

- Programs addressing toxics, hazardous waste, environmental education, sustainable agriculture, and food production and distribution;
- Individuals;
- Academic research.

— Biological Diversity and the —
Human Communities That Depend On It

ALASKA CONSERVATION FOUNDATION, Anchorage, Alaska

To prevent irrevocable harm to Alaska's intact wildlands and landmarks by creating broad support for transportation policies that offer practical, environmentally appropriate alternatives and by neutralizing the state's Roads to Resources plan.

\$50,000

ALASKA CONSERVATION FOUNDATION, Anchorage, Alaska

General operating support for the Alaska Oceans Program to protect North Pacific marine resources (including sustainable human uses) and strengthen organizations working to promote ocean ecosystem health through both grants and services.

(2 years) \$250,000

ALASKA WILDERNESS LEAGUE, Washington, DC

General support for public education, grassroots and membership development efforts to enact public policy that protects Alaska's wilderness quality lands.

\$50,000

AMERICAN FORESTS, Washington, DC

To strengthen and expand national policy efforts related to community-based forestry and to broaden the national policy agenda for community-based forestry so that it is more inclusive of public and private forest conservation concerns.

(2 years) \$160,000

DOVETAIL PARTNERS, Inc., White Bear Lake, Minnesota

For general operating funds to support the operation and expansion of Dovetail's FSC market linkage activities in the midwest, and to demonstrate how FSC-certification can transform forestry in the region.

(2 years) \$200,000

ECOTRUST, Portland, Oregon

Continued support for an initiative that will aid a transition to sustainable forestry in the Pacific Northwest by stimulating demand from green builders for FSC-certified wood, and by helping to build a stable and efficient supply chain.

\$100,000

FLORIDA WILDLIFE FEDERATION, Tallahassee, Florida

To protect the rich biodiversity, water resources and nature-based recreation in rapidly developing north Florida's undeveloped areas, and promote state acquisition of critical lands and use of conservation buffers and other smart growth strategies.

\$120,000

FOREST STEWARDSHIP COUNCIL - U.S., Washington, DC

To develop paper markets, maintain FSC's position in green building, explore models to create efficiencies in how FSC works in North America, develop alternative financial strategies, build brand awareness, and design and implement a 5-year business plan.

\$150,000

GULF OF MAINE RESEARCH INSTITUTE, Portland, Maine

To create an institutional platform for inclusive fishery ecosystem research to inform scaled ecosystem management strategies and educate Maine students, all to support the stewardship of the Gulf of Maine and the communities that depend on it.

(3 years) \$300,000

H. JOHN HEINZ III CENTER FOR SCIENCE, ECONOMICS AND THE ENVIRONMENT, Washington, DC

To summarize the science of threshold responses of ecosystems to global change and to engage managers and policy makers in a dialogue on possible actions to address these responses.

\$75,000

NATIONAL ENVIRONMENTAL TRUST, Washington, DC

To defend federal marine conservation provisions by generating greater public awareness and involvement in fisheries issues.

\$75,000

NATURE CONSERVANCY - MINNESOTA CHAPTER, Minneapolis, Minnesota

To support the Minnesota Forest Legacy Partnership Project for program costs or the purchase of easements in Minnesota's northern forest or both, as needed.

(3 years) \$1,000,000

NORTHERN FOREST CENTER, Concord, New Hampshire

To advance a regional strategy for sustainable development and conservation in the Northern Forest through a region-wide economic assessment, supportive federal policy and funding, and national partnerships with conservation interests throughout the Northern Forest and other rural regions.

(2 years) \$200,000

NORTHWEST ATLANTIC MARINE ALLIANCE, Saco, Maine

To convene leading stakeholders in drafting a new management plan capable of protecting local marine ecosystems and the communities they support; and to identify and develop a diverse membership needed to give its goals political legitimacy.

\$65,000

OCEAN CONSERVANCY, Washington, DC

Support to end overfishing along the East Coast by working directly with the regional fishery councils to implement specific protections, as well as working on national fishery legislation.

\$100,000

OCEANA, Washington, DC

For Oceana's efforts to address specific and major threats to ocean habitat, fish, and other marine life that are the result primarily of destructive fishing practices in U.S. fisheries.

(3 years) \$375,000

RAINFOREST ALLIANCE, New York, New York

To promote and expand the availability and use of FSC-certified wood in New York City building projects.

\$100,000

REGIONAL MARINE CONSERVATION PROJECT, Portland, Oregon

To facilitate strategic planning and coordination of several new fishery conservation initiatives which emphasize diverse community-based advocacy and empowerment approaches, and to help fund them.

\$200,000

UNIFIED ENDANGERED SPECIES CAMPAIGN, Washington, DC

To defend the fundamental principles of the Endangered Species Act and develop and promote a proactive policy agenda to strengthen America's most important wildlife conservation law.

\$150,000

— Realigning Human and Natural Systems —

CENTER FOR A NEW AMERICAN DREAM, Takoma Park, Maryland

To support a purchasing network which seeks to expand the growing number of institutions committed to buying socially and environmentally responsible products.

(2 years) \$200,000

CENTER FOR WHOLE COMMUNITIES, Fayston, Vermont

To bring to scale 'Measures of Health', the conservation movement's first set of ethical standards, which will strengthen conservation by improving strategic decision-making and engaging the public on the role of land in a just society.

(2 years) \$150,000

ENVIRONMENTAL LEADERSHIP PROGRAM, Washington, DC

For a special fellowship initiative; to strengthen development capacity; to strengthen a senior fellows network; to strengthen and expand an individual donor program; and to continue and expand a regional network program.

\$100,000

GREEN MEDIA TOOLSHED, Washington, DC

To improve communications services, strategy and tools for the environmental movement, expand its membership and media contact database using extensive volunteer input, and enable it to take steps toward improving its organizational sustainability.

\$75,000

INSTITUTE FOR SUSTAINABLE COMMUNITIES, Montpelier, Vermont

To support Phase II of the New England Futures project, including regional discussions that create an agenda for collaborative projects that address key issues such as smart growth, energy policy, and better natural resource management.

\$50,000

LEAGUE OF CONSERVATION VOTERS EDUCATION FUND, Washington, DC

To directly engage citizens in policy decisions across the country and develop the technical infrastructure and communications strategies that will ensure long-term policies for environmental protection.

\$100,000

REDEFINING PROGRESS, Oakland, California

General support to develop strategic communications skills and capacity, systematize strong alliances, broaden its reach, and increase the impact of its core program areas.

(3 years) \$300,000

THEODORE ROOSEVELT CONSERVATION PARTNERSHIP, Washington, DC

General support for efforts to fully engage America's 40 million sportsmen and sportswomen and make possible their active participation in the national conservation policy arena.

\$75,000

— **Transportation and Urban/Suburban Land Use** —

1000 FRIENDS OF OREGON, Portland, Oregon

To provide leadership in a review of Oregon's 30 year-old planning program; engage a new generation to recognize the connection between land use planning and a livable future; and strengthen support for the fundamental objectives of Oregon's planning program.

\$100,000

AMERICAN COUNCIL FOR AN ENERGY-EFFICIENT ECONOMY, Washington, DC

To demonstrate how a cap-and-trade program for greenhouse gas emissions could facilitate the adoption and implementation of smart growth and other transportation demand reduction measures.

\$50,000

AMERICAN FARMLAND TRUST, Washington, DC

To promote state and local smart growth policies leading to farmland protection in California by providing comprehensive information on land use and mobilizing dynamic leadership.

\$100,000

CENTER FOR NEIGHBORHOOD TECHNOLOGY, Chicago, Illinois

Support to facilitate development of an organizational transformation strategy to improve the organization's positioning, impact and outcomes; and financial sustainability in pursuit of establishing it as an "urban sustainability innovations laboratory."

(3 years) \$450,000

COMMUNITY RIGHTS COUNSEL, Washington, DC

To help Community Rights Counsel inform state and local officials of their broad constitutional powers under takings law, highlight state and local environmental innovations, and defend environmental protections at the federal, state and local level.

\$75,000

CONGRESS FOR THE NEW URBANISM, Chicago, Illinois

To make a case to policymakers, politicians and practitioners of the economic and environmental benefits of replacing superhighways or high-speed arterials with at-grade streets reconnected to the urban grid.

\$55,000

CONSERVATION LAW FOUNDATION, Boston, Massachusetts

To advocate for public transportation planning, environmental justice, housing and employment access, and reduced community displacement through targeted transit advocacy and strengthened stakeholder coalitions.

\$100,000

EAST COAST GREENWAY ALLIANCE, Wakefield, Rhode Island

To undertake a "Close the Gaps" Campaign to consolidate and speed up efforts to make the entire 2,900-mile ECG route safe and available for public use in the near-term; and substantially complete the East Coast Greenway, moving 80 percent of the route off-road, by 2010.

(3 years) \$300,000

ENVIRONMENTAL DEFENSE, New York, New York

To advance market-based transportation reform through pricing using federal policy tools, congestion pricing innovation, a new environmental performance framework for public-private partnerships, and technical support to advocates of pay-as-you-drive insurance.

(2 years) \$200,000

ENVIRONMENTAL LAW AND POLICY CENTER OF THE MIDWEST, Chicago, Illinois

To advocate for the development of sustainable transportation systems and to advance better public decision making on transportation and land use issues in the Midwest, as well as on focused national opportunities.

(2 years) \$200,000

FUNDERS' NETWORK FOR SMART GROWTH AND LIVABLE COMMUNITIES, Coral Gables, Florida

To support the Network's efforts to research, design, and begin to implement a new Leadership Services program, in order to build and strengthen philanthropic networks nationally and in key states and regions.

\$100,000

FUTUREWISE, Seattle, Washington

General operating support for efforts to strengthen growth management in Washington.

\$50,000

GEORGETOWN ENVIRONMENTAL LAW AND POLICY INSTITUTE, Washington, DC

To support the Georgetown Law and Policy Institute's research and public education work, primarily related to the reemergence of the property rights issue.

\$100,000

ICLEI - LOCAL GOVERNMENTS FOR SUSTAINABILITY, Oakland, California

Continued support for work with cities in New England to implement transportation and land use plans that improve local air quality, reduce greenhouse gas emissions, and serve as a model for other regions to follow. This grant will also support the Northeast office to increase the level of engagement of cities in climate protection efforts throughout the region.

(2 years) \$200,000

PACE UNIVERSITY LAND USE LAW CENTER, White Plains, New York

To create a business plan that will improve and expand the Pace University Land Use Law Center's support to local land use leaders locally and in selected regions who are creating sustainable land use patterns.

\$40,000

PARTNERSHIP FOR WORKING FAMILIES, Oakland, California

For technical assistance to new and growing organizations around the country to enable them to win community benefits agreements and policies and to build a national network of these organizations.

\$75,000

PROJECT FOR PUBLIC SPACES, New York, New York

For a joint venture that is advancing the policies and practices of placemaking, particularly in the area of transportation.

\$100,000

RAILS-TO-TRAILS CONSERVANCY, Washington, DC

To support its 2010 Campaign to double federal investment in non-motorized transportation with a two-pronged strategy to (a) build a broad-based movement in support of change and (b) make a compelling case for change.

\$100,000

SURFACE TRANSPORTATION POLICY PROJECT, Washington, DC

General support to advance the objectives of STPP's Strategic Business Plan, covering its national program, coalition support, and state programs.

(3 years) \$450,000

TRANSPORTATION AND LAND USE COALITION, Oakland, California

To support the development of a sustainable transportation system in the Bay Area and for a collaborative effort to catalyze a shift in the region's land use patterns towards transit-oriented development.

\$100,000

TRANSPORTATION EQUITY NETWORK, Chicago, Illinois

To support a national coalition of transportation advocates seeking to improve equity and access to opportunities through reforms in transportation and land use policies.

\$100,000

URBAN HABITAT, Oakland, California

To support continuing efforts to build the capacity of the Bay Area's low-income communities and communities of color to effectively participate in transportation decision-making processes.

\$75,000

Energy

CENTER FOR CLIMATE STRATEGIES, Harrisburg, Pennsylvania

To carry out coordinated stakeholder driven greenhouse gas mitigation planning processes and related technical evaluations in Arizona and New Mexico to lead to policy implementation by respective state governments.

\$60,000

CERES, INC., Boston, Massachusetts

To improve environmental performance and carbon emission disclosure within the U.S. electric power sector and build support for national climate policy action.

\$80,000

CLEAN AIR TASK FORCE, Boston, Massachusetts

To further develop and sustain a network of state and regional organizations to develop innovative climate mitigation strategies through research and information exchange.

\$175,000

CLEAN ENERGY GROUP, Montpelier, Vermont

To accelerate clean energy markets by engaging experts on technology innovation and finance to establish new technology innovation strategies for climate stabilization and to mobilize financial capital for investments in clean energy technologies.

(2 years) \$200,000

ENERGY ACTION COALITION, New York, New York

To launch the Campus Climate Challenge which, through a comprehensive organizing and communications strategy targeted at young people, will engage 1 million students on 700 campuses in a long term contest that will ignite action on campus and in the larger policy arena.

\$75,000

GLOBAL GREEN USA, Santa Monica, California

To support the development of a pilot project in Los Angeles that will promote community development and environmental protection, by leveraging the emerging emissions trading market, and also engage a broader set of stakeholders in pursuing binding greenhouse gas emissions reductions.

\$75,000

HONOR THE EARTH, Minneapolis, Minnesota

Support for a renewable energy justice initiative to educate Native communities on alternative energy, develop tribal renewable energy portfolios, link tribal renewable resources to national markets, and build alliances with Native and non-Native organizations, the public and tribal governments on the need to reduce greenhouse gases.

\$50,000

NEW ENERGY ECONOMY, Santa Fe, New Mexico

To support the participation and effectiveness of New Mexico's advocacy groups in efforts to ensure that Governor Richardson's Climate Advisory Group delivers a substantive package of policies to reduce global warming pollution.

\$40,000

NORTHEAST ENERGY EFFICIENCY PARTNERSHIPS, Lexington, Massachusetts

To facilitate and support a regional partnership project to advance energy efficiency in the Northeast via state adoption and implementation of minimum energy efficiency standards for certain products, and to leverage these gains towards adoption of federal standards.

\$60,000

RENEWABLE ENERGY POLICY PROJECT, Washington, DC

To work in several selected states to document the potential of manufacturing renewable energy components to stimulate the economies of states hurt by the loss of manufacturing jobs and to collaborate with local groups to capture the economic potential.

(2 years) \$100,000

Subtotal - Biological Diversity and the Human Communities That Depend on It	\$3,720,000
Subtotal - Realigning Human and Natural Systems	\$1,050,000
Subtotal - Transportation and Urban/Suburban Land Use	\$3,120,000
Subtotal - Energy	\$915,000
TOTAL ENVIRONMENT GRANTS	\$8,805,000

ENVIRONMENT COMMITTEE

Sandra Kaupe, *Chair*

Sharon Alpert

Elizabeth H. Andrus

John E. Andrus, III

Hooper Brooks

John F. Hawkins

Frederick F. Moon, III

Nadya K. Shmavonian

Edward Skloot

Samuel S. Thorpe, III

Community Revitalization

The Community Revitalization program seeks to transform environments and enhance the quality of life in urban places, increase their ability to attract and retain a diversity of residents and employers, and insure that urban policies and development promote social equity.

In cities that are experiencing population growth, a surge in economic activity and strong real estate markets, we seek to maintain affordability and improve the lives of all residents by preserving diversity and mitigating the negative effects of unbalanced growth.

In cities that are losing population, jobs, and wealth, we aim to abate population loss and consequent economic and racial isolation by catalyzing efforts to make these places communities of choice by attracting a wide range of residents and businesses.

To accomplish these goals, the Surdna Foundation supports efforts, in growing cities, that:

- Increase the overall supply of affordable housing or preserve and improve significant amounts of existing affordable housing, expand homeownership opportunities, open up under-utilized, inner-city land for infill development, and create new opportunities for affordable housing development in the metropolitan region.
- Engage residents/local government in planning for and managing neighborhood growth/change.
- Secure and improve commercial areas for a diversity of businesses.

In declining cities, we seek to accomplish our goals by supporting efforts that:

- Increase real estate values, provide homeownership opportunities for a wide range of income groups, and improve the quality of the existing affordable housing stock. Eligible projects could include the revitalization of historic buildings for use as mixed-income/mixed-use housing, strategically located artist housing, city-wide advocacy efforts to improve housing conditions, the development of downtown housing, and marketing strategies.
- Revitalize/create pedestrian-friendly, transit-oriented commercial districts, leverage the potential of new immigrants to spur local economies, and/or promote policies that encourage the siting of businesses within developed areas.

We also support efforts that Strengthen the Field of community development by supporting efforts that:

- Provide specialized technical assistance in areas that include transit oriented development, brownfields, green building, shared-equity co-ops and good design.
- Disseminate best practices and train practitioners to improve the quality and scale of community development.
- Advocate to increase the profile of community development and the role of cities at the state and national levels and reform systems.

In all cities we:

- Aim to bolster the quality of life for urban families with young children by improving the quality and quantity of family child care and family child care providers.
- Support efforts that are innovative and have the potential to serve as new “best practices” for the national community development audience.
- Look for projects that adhere to the “smart growth” principles and will contribute to the development of compact, pedestrian-friendly communities.

Generally, We Do Not Support:

- Rural housing and economic development
- Drug/alcohol rehab programs/housing
- Homeless shelters and feeding programs
- Micro-lending and micro-business development
- Business incubators
- Vocational programs aimed at teens
- Workforce Development Programs

— Hot Market Cities —

**ASSOCIATION FOR NEIGHBORHOOD AND HOUSING DEVELOPMENT,
New York, New York**

To support its “Save Our Homes” campaign, a comprehensive initiative to preserve the HUD-assisted low-income housing stock in New York City.

\$75,000

BRIDGE HOUSING CORPORATION, San Francisco, California

To support the Neighborhood Partnership Initiative, providing funds for major new housing solutions and community revitalization to deteriorating urban areas in California.

\$100,000

CENTER FOR CREATIVE LAND RECYCLING, San Francisco, California

To enhance and expand its technical assistance and training programs that support the brownfield redevelopment efforts of nonprofit housing developers, community-based organizations, and municipalities with limited resources.

\$125,000

CENTRAL COMMUNITY HOUSING TRUST, Minneapolis, Minnesota

To preserve affordable housing units in the Twin Cities suburbs by identifying and creating a pipeline of suburban housing developments that are at risk of losing their affordability.

\$125,000

CHICAGO REHABILITATION NETWORK, Chicago, Illinois

To support efforts to strengthen nonprofit community development organizations, increase affordable housing options, and improve the connections between government policies and their activation at the local level.

\$75,000

CHINATOWN COMMUNITY DEVELOPMENT CENTER, San Francisco, California

Support for a project designed to expand affordable housing opportunities in San Francisco through strategies to maximize the use of publicly owned land for affordable housing.

\$100,000

**COMMUNITY ECONOMIC DEVELOPMENT ASSISTANCE CORPORATION,
Boston, Massachusetts**

Support to increase the scale of its development lending and technical assistance to help nonprofit affordable housing developers produce and preserve low-income housing.

\$110,000

DORCHESTER BAY ECONOMIC DEVELOPMENT CORPORATION, Dorchester, Massachusetts

For a real estate project feasibility and acquisitions for new affordable housing, economic development and open space projects along a 9-mile Commuter Rail line that four Community Development Corporations want to upgrade.

\$125,000

EAST BAY ASIAN LOCAL DEVELOPMENT CORPORATION, Oakland, California

To implement a pilot manufactured housing development, secure an agreement for bulk purchase of homeownership units from a private developer and develop a business plan for mixed use co-housing development.

(2 years) \$200,000

GREATER JAMAICA DEVELOPMENT CORPORATION, Jamaica, New York

To advance transit-oriented development projects around AirTrain/Jamaica Station with the goal of making Jamaica a vibrant downtown in which to live, work, shop, and play.

\$80,000

HOUSING HERE AND NOW, New York, New York

To support Housing Here & Now's work to preserve and create affordable housing. 2006 campaigns aim to: improve code enforcement to preserve units, strengthen rent protections and reform the 421-a tax program to create more affordable units.

\$75,000

MISSION ECONOMIC DEVELOPMENT AGENCY, San Francisco, California

To improve the quality of life of Mission low-income residents through policy development, the Mission Asset Fund, and provision of business development services, and to implement a tracking and evaluation system.

\$125,000

MOUNT HOPE HOUSING COMPANY, Bronx, New York

General operating support for increasing organizational capacity in sustaining affordable housing, wealth building and youth services for low-income families in the South Bronx.

\$100,000

MUNICIPAL ART SOCIETY OF NEW YORK, New York, New York

For the Planning Center to support the ongoing Campaign for Community-Based Planning, and to sustain the CITI Project, an online map-making program for community-based planning.

(2 years) \$100,000

NCB DEVELOPMENT CORPORATION, Washington, DC

Together We Can will build the capacity of community-based development organizations to engage in cooperative development, and provide financing for the rehabilitation and conversion of rental properties to homeownership.

\$80,000

**NEIGHBORHOOD ECONOMIC DEVELOPMENT ADVOCACY PROJECT,
New York, New York**

For a Fair Lending Initiative that seeks to combat unequal access to financial services and access to credit as it affects predominantly low-income and immigrant neighborhoods and communities of color in New York City.

\$75,000

NEIGHBORHOOD OPPORTUNITIES FUND, New York, New York

Support for this funders collaborative, which makes grants to Community Development Corporations in New York City for their housing production and community organizing efforts.

(2 years) \$100,000

NEW PARTNERS FOR COMMUNITY REVITALIZATION, New York, New York

To support overall operations and program activities, including the recently launched New York Metro Brownfields Redevelopment Fund Program and New Partners for Community Revitalization's Brownfields START-UP Pool.

\$75,000

NEW YORK COMMUNITY TRUST, New York, New York

For a capacity-building initiative that aims to help immigrant organizations enhance their leadership, build infrastructure and engage in broader advocacy and community development efforts.

(2 years) \$100,000

**NORTHEASTERN UNIVERSITY - CENTER FOR URBAN AND REGIONAL POLICY,
Boston, Massachusetts**

To provide education and technical assistance to communities, in implementing the 40R/40S Smart Growth Zoning and Housing Production Act, to expand the development of affordable housing throughout the state of Massachusetts.

\$75,000

ORGANIZATION OF THE NORTHEAST, Chicago, Illinois

To support its work to create and preserve affordable housing, win an inclusionary zoning policy and community controlled development.

\$50,000

PRATT CENTER FOR COMMUNITY DEVELOPMENT, Brooklyn, New York

Support for the center's work to help build a more just, equitable and sustainable city for all New Yorkers.

\$100,000

SOMERVILLE COMMUNITY CORPORATION, Somerville, Massachusetts

To establish a comprehensive effort combining development, planning, organizing, and support services to minimize displacement, stabilize and strengthen East Somerville's low-income and largely immigrant community.

\$100,000

SOUTHERN BRONX RIVER WATERSHED ALLIANCE, Bronx, New York

To continue its campaign to remove the Sheridan Expressway and ensure that the land occupied by the Sheridan is redeveloped for sustainable uses that will serve community-defined priorities.

\$125,000

URBAN EDGE HOUSING CORPORATION, Roxbury, Massachusetts

For general operating funds to further increase in-house capacity and strengthen strategic partnerships in support of the Jackson Square Initiative and its comprehensive community development activities in Boston's neighborhoods.

(2 years) \$250,000

URBAN HOMESTEADING ASSISTANCE BOARD, New York, New York

To expand its campaign to preserve federally subsidized housing throughout New York City by pursuing short-term preservation campaigns and large-scale regulatory reform at the local and national levels.

(2 years) \$300,000

— Weak Market Cities —

10,000 FRIENDS OF PENNSYLVANIA, Philadelphia, Pennsylvania

To develop a Philadelphia metropolitan development caucus to pursue policies that support market rate development in weak market neighborhoods while increasing working family housing choices in hot market areas of the region.

(2 years) \$200,000

ARTSPACE PROJECTS, Minneapolis, Minnesota

To support feasibility and predevelopment work to aid in the creation of affordable space for artists and arts organizations as a revitalization strategy in weak market cities.

\$80,000

ASSOCIATION OF BALTIMORE AREA GRANTMAKERS/BALTIMORE NEIGHBORHOOD COLLABORATIVE, Baltimore, Maryland

To support the Baltimore Neighborhood Collaborative's transit-centered community development initiative focused on attracting new development around three transit hubs as well as improving housing and job opportunities for current residents.

\$50,000

BALTIMOREANS UNITED IN LEADERSHIP DEVELOPMENT, Baltimore, Maryland

To support the comprehensive revitalization of the Oliver Community in East Baltimore by transforming it into a mixed income community of choice of 850 new housing units.

(2 years) \$200,000

BROAD-PARK DEVELOPMENT CORPORATION, Hartford, Connecticut

To aid planning, site acquisition and financing of a community cultural center serving as the stimulus for a neighborhood renewal project, with artist centered housing, retail and commercial space in an architecturally harmonized complex.

\$80,000

COOL SPACE LOCATOR, Pittsburgh, Pennsylvania

To hire an additional staff member as the organization grows to meet the new demand for services locally, and to continue standardizing its work methods and evaluation efforts.

\$80,000

DETROIT LOCAL INITIATIVES SUPPORT CORPORATION, Detroit, Michigan

To alleviate the land use crisis in the city of Detroit through an aggressive, multi-faceted strategy to convert abandoned property and vacant land to productive use.

\$80,000

HARTFORD NEIGHBORHOOD DEVELOPMENT SUPPORT COLLABORATIVE, Hartford, Connecticut

To support the homeownership incentive program and to help fund the Support Collaborative as a convener in Hartford for Community Development Corporations, funders and civic leaders around neighborhood issues.

\$50,000

HARTFORD PRESERVATION ALLIANCE, Hartford, Connecticut

To expand the capability of HPA to move forward on our goals for education, advocacy, and technical assistance. Our highest priority for 2006-2007 is to implement technical assistance services for property owners.

\$50,000

HEALTHY NEIGHBORHOODS, Baltimore, Maryland

To enable the Healthy Neighborhoods Initiative to expand and refine its services and further its impact.

\$75,000

HOME HEADQUARTERS, Syracuse, New York

To develop and implement innovative neighborhood planning strategies for transitional Syracuse neighborhoods.

\$50,000

HOUSING AND COMMUNITY DEVELOPMENT NETWORK OF NEW JERSEY, Trenton, New Jersey

To conduct an environmental scan and needs assessment to help rethink New Jersey's approach to urban revitalization and the role of Community Development Corporations (CDCs) in the state, and to inform and strengthen the Network's continuing work with CDCs.

\$80,000

ISLES, Trenton, New Jersey

For community revitalization efforts in the Canal Banks and East Trenton/Bromley Areas, conducting comprehensive community planning and development in these urban and first-ring suburban areas.

\$100,000

LAWRENCE COMMUNITYWORKS, Lawrence, Massachusetts

To take Lawrence CommunityWorks' innovative network organizing and neighborhood planning approach to a new level, developing it as a strategy for rebuilding the civic infrastructure and public life of Lawrence, with implications for other similar third-tier cities.

\$100,000

LAWRENCEVILLE CORPORATION, Pittsburgh, Pennsylvania

To undertake a multi-phased planning process that positions the four Community Development Corporations in Pittsburgh's East End to make significant and strategic market change in their communities.

\$100,000

NATIONAL TRUST FOR HISTORIC PRESERVATION, Washington, DC

To expand the impact of the Trust's hurricane recovery work in New Orleans by assisting the Preservation Resource Center to rebuild the city's neighborhoods.

\$100,000

NATIONAL VACANT PROPERTIES CAMPAIGN, Washington, DC

To build a successful vacant properties movement, to coordinate the coalition efforts with the national weak market cities initiative, and to create and support policy reforms and changes in practice in both weak market and hot market regions.

\$150,000

NEIGHBORHOODS OF HARTFORD, Hartford, Connecticut

Operational support to help implement the Rising Star and Pride Block Healthy Neighborhoods program.

\$100,000

**NEW ORLEANS NEIGHBORHOOD DEVELOPMENT COLLABORATIVE,
New Orleans, Louisiana**

To build the capacity of NONDC to lead the rapid production of quality affordable housing within healthy, mixed-income neighborhoods in post-Katrina New Orleans.

\$150,000

NORTHEAST-MIDWEST INSTITUTE, Washington, DC

To launch an initiative on federal policy for older cities and suburbs, one that educates congressional leaders about challenges and policies, offers support for policy development, and provides ongoing stewardship for issue areas and policy efforts.

(2 years) \$200,000

THE REINVESTMENT FUND, Philadelphia, Pennsylvania

To provide start-up funding to plan and implement a collaborative “build-from-strength” investment strategy with community partners to develop real estate in Baltimore’s distressed neighborhoods.

\$100,000

— **Strengthening the Field** —

CONGRESS FOR THE NEW URBANISM, Chicago, Illinois

To make a case to policymakers, politicians and practitioners for the economic and environmental benefits of replacing superhighways or high-speed arterials with at-grade streets reconnected to the urban grid.

\$55,000

HOUSING PARTNERSHIP NETWORK, Boston, Massachusetts

General operating support for a major expansion of the organization and its impact.

\$50,000

**INSTITUTE OF CLASSICAL ARCHITECTURE & CLASSICAL AMERICA,
New York, New York**

To support the Campaign for Excellence in Affordable Housing Design by the ICA&CA and Habitat for Humanity International, to introduce high-quality design and energy efficiency to strengthen local neighborhood revitalization efforts.

(2 years) \$80,000

MAYORS’ INSTITUTE ON CITY DESIGN, Washington, DC

To support three design institutes for current Mayors to help them solve three specific city design challenges: the inter-play between neighborhoods and large universities, integrating new immigrant communities into neighborhoods, and developing neighborhoods that help support working families.

\$80,000

NATIONAL HOUSING INSTITUTE, Montclair, New Jersey

To support the National Housing Institute’s efforts of building a model to link the process of market-driven neighborhood change with tools and strategies to foster revitalization and preserve affordability, and, to enhance and focus the work of community development corporations and community based organizations in molding neighborhood revitalization efforts.

\$115,000

NATIONAL LOW INCOME HOUSING COALITION, Washington, DC

For general operating costs with emphasis on specific housing preservation and expansion projects.

\$100,000

PARTNERSHIP FOR WORKING FAMILIES, Oakland, California

Support for technical assistance to new and growing organizations around the country to enable them to win community benefits campaigns, and to build a national network of these organizations.

\$50,000

RECONNECTING AMERICA, Oakland, California

To continue the implementation activities associated with its Mixed-Income TOD Initiative and to provide technical assistance to Surdna Foundation Community Development grantees that require expertise in transit-oriented development.

\$100,000

Subtotal - Hot Market Cities \$2,945,000

Subtotal - Weak Market Cities \$2,175,000

Subtotal - Strengthening the Field \$630,000

TOTAL COMMUNITY REVITALIZATION GRANTS \$5,750,000

COMMUNITY REVITALIZATION COMMITTEE

John Lynagh, *Chair*

Pamela Brill

Kim Burnett

David Grant

Lawrence S.C. Griffith, M.D.

John F. Hawkins, *ex officio*

Edward Skloot

Edith D. Thorpe

Samuel S. Thorpe, III

Vanitha Venugopal

Effective Citizenry

The goal of the Effective Citizenry Program is to support young people taking direct action to solve serious problems in their schools, neighborhoods, and the broader society.

To accomplish this goal, the Surdna Foundation supports efforts that:

- Help young people (primarily teenagers and young adults) be effective, problem-solving citizens today;
- Improve practices and performance of organizations that help young people move through leadership development and into productive action;
- Advance and build theory, research, documentation, training, technical support, networks, and policies that will anchor and expand this work;
- Develop a network of activists, allies, and funders who can build a field of effective citizenry.

We are particularly interested in programs that:

Recognize and work toward multiple levels of change, from developing the skills of individuals and groups to reforming policy, improving the community, and opening up institutional culture to allow meaningful and effective youth involvement; bring young people and adults together in effective cross-generational partnerships; and actively address and promote our democracy's core values: equity, justice, fairness, and inclusion in decision making.

Program support follows two primary themes:

—— **Young People Taking Direct Action** ——

Examples of our interests are:

Developing service-learning tied to school curricula; supporting youth organizing efforts focused on schools, other youth-serving institutions, and broader community problems; fostering active resolution of contentious community conflicts within schools and in the wider community; and developing youth communications through traditional media and the Internet focused on helping young people express their own views and improving public attitudes toward youth in the broader society.

— **Strengthening The Groundwork For Meaningful Youth Involvement** —

Examples of our interests are:

Supporting the documentation and evaluation of effective practice that leads to a stronger understanding of the productive roles young people can play as citizens; intermediary organizations and networks that provide training, evaluation, organizational development, and generally help build the field; and active linkages between youth development and efforts to change communities and public policy.

— **Young People Taking Direct Action** —

APPALACHIAN COLLEGE ASSOCIATION, Berea, Kentucky

To facilitate local problem-solving and service by students, led by college faculty and community leaders, with the intent of fostering learning and civic responsibility in students living in rural Appalachia.

\$91,000

ASIAN COMMUNITY MENTAL HEALTH SERVICES, Oakland, California

General support of its core goals around youth organizing: increasing young people's skills, building motivation, and providing and opportunities to effect social change.

\$70,000

BRYN MAWR COLLEGE, Bryn Mawr, Pennsylvania

To support several key initiatives of the Civic Engagement Office which integrates service, community-based learning and activism with academic affairs and student life at the college.

\$50,000

CALIFORNIA STATE UNIVERSITY MONTEREY BAY, Seaside, California

To support this innovative public university which has a service-learning requirement for graduation: for course development, student leadership, and to strengthen liaisons with community partners.

(2 years) \$274,000

CITIZENS' COMMITTEE FOR CHILDREN OF NEW YORK, New York, New York

Support for YouthAction NYC which engages young people directly in civic leadership training that stimulates public problem-solving and policy change to improve outcomes for New York City youth.

\$60,000

COLEMAN ADVOCATES FOR CHILDREN AND YOUTH, San Francisco, California

To support its membership-based, youth-led organizing project, Youth Making a Change, which focuses on school reform and neighborhood economic development.

(2 years) \$160,000

COMMUNITY COALITION FOR SUBSTANCE ABUSE PREVENTION AND TREATMENT, Los Angeles, California

For the “Equal Access to College Prep Courses” campaign, which will decrease the high school dropout rate, improve academic achievement, and increase the number of students pursuing a college education in South L.A. High Schools.

\$80,000

CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION, Brooklyn, New York

Continued support for the Future of Tomorrow program which involves local teens in organizing for student-devised reforms of the local area high school.

\$55,000

DARTMOUTH COLLEGE – PUBLIC IMPACT INITIATIVE, Hanover, New Hampshire

To increase campus-wide student civic skills training, and to provide opportunities for students to work directly on research challenges posed by lawmakers in Vermont and New Hampshire.

\$75,000

ENERGY ACTION COALITION, New York, New York

To support the Campus Climate Challenge which, through a comprehensive organizing and communications strategy, will engage students across the country on climate change issues.

\$75,000

FOODCHANGE, New York, New York

For EATWISE, a program that educates young people about health, nutrition, and the many food-related issues that affect their lives, and helps them address policies around school food.

\$75,000

MAKE THE ROAD BY WALKING, Brooklyn, New York

To support the Youth Power Project in expanding its membership base and strengthening its campaign work on school reform and immigration policy.

\$75,000

MEXICAN FINE ARTS CENTER MUSEUM, Chicago, Illinois

General operating support for the youth-run and operated radio station, Radio Arte.

\$50,000

MOTHERS ON THE MOVE, Bronx, New York

To strengthen and expand Youth On the Move, Mothers on the Move’s youth organizing arm.

\$60,000

NORTHWEST BRONX COMMUNITY AND CLERGY COALITION, Bronx, New York

General operating support to continue youth organizing work focused on improving high schools in the Bronx.

\$80,000

OAKLAND KIDS FIRST, Oakland, California

To implement student-led organizing initiatives that increase the number of opportunities for youth to participate in policy-making and improve responsiveness to student identified needs in the Oakland Unified School District.

\$65,000

PADRES UNIDOS, Denver, Colorado

To support the Freedom to Learn Project. The project dismantles educational barriers for youth of color and low-income youth by organizing in four areas: educational excellence, curriculum, discipline, and access to college.

\$75,000

PACIFIC NEWS SERVICE, San Francisco, California

To support the California Council on Youth Relations, which works to institutionalize authentic youth voice into policy and program discussions in California.

\$70,000

SISTERS IN ACTION FOR POWER, Portland, Oregon

General support of Oregon's only community based, girl-driven grassroots organization designed to promote social justice and equity.

\$50,000

YOUTH COMMUNICATION NEW YORK CENTER, New York, New York

General support to fund programs that train hundreds of teenage journalists who write articles reaching more than 500,000 teen and adult readers on topics of importance to youth.

\$65,000

YOUTH MINISTRIES FOR PEACE AND JUSTICE, Bronx, New York

General support for this innovative youth organizing program which involves Bronx teens in self and community improvement.

\$75,000

YOUTH NEWS SERVICE L.A. BUREAU, Los Angeles, California

For ongoing support of L.A.Youth as it expands its successful high school-age journalism education and training program, bringing youth perspectives to thousands of adult and readers, through its own publications and a youth page in the L.A. Times.

(2 years) \$130,000

YOUTH ORGANIZERS UNITED, New York, New York

To develop new programs of youth-led health outreach, prevention education, and membership development.

\$40,000

YOUTH RADIO, Berkeley, California

To solidify its role as a curator of voices from the burgeoning youth media movement and to launch www.myouthmedia.org, develop podcasting, vodcasting and webcasting capabilities.

\$65,000

YOUTH TOGETHER, Oakland, California

Support to develop multiracial student organizers advocating for equity in schools, to institutionalize youth leadership in school decision-making, and to support coalition efforts to impact educational policy.

\$70,000

YOUTH UNITED FOR CHANGE, Philadelphia, Pennsylvania

For general operating support to assist young people in North Philadelphia organize to improve the quality of education in local schools.

\$70,000

— Strengthening The Groundwork For Meaningful Youth Involvement —

ADVANCEMENT PROJECT, Washington, DC

Support for the coordination of a multi-site youth organizing campaign to stop the criminalization of students by their schools and to put in place constructive school safety and discipline policies.

\$80,000

AMERICAN YOUTH WORK CENTER-YOUTH TODAY, Washington, DC

To continue Youth Today's news coverage in the areas of service-learning, civic engagement and related topics, as well as to continue to build the paper's paid circulation among those working in these areas.

\$50,000

CORRECTIONAL ASSOCIATION OF NEW YORK, New York, New York

Support for "Each One, Teach One," a comprehensive youth leadership training and organizing initiative for young people affected by youth incarceration.

\$60,000

CROSS CITY CAMPAIGN FOR URBAN SCHOOL REFORM, Chicago, Illinois

To support the work of a national network of youth-led nonprofit groups focused on education reform: for conferences, publications on lessons learned, networking and peer exchanges.

\$50,000

ELLA BAKER CENTER FOR HUMAN RIGHTS, Oakland, California

Support for the Books Not Bars Alternatives for Youth Campaign, which works for comprehensive reform of California's juvenile justice system.

\$100,000

HANDS ON NETWORK, Atlanta, Georgia

For Youth Citizen Academies, which motivate youth to integrate formal learning into their volunteering experiences.

(2 years) \$150,000

INDEPENDENT PRESS ASSOCIATION, San Francisco, California

Support for the Campus Journalism Project, a national training and mentoring program for campus-based alternative journalists and publications.

\$50,000

**INNOVATION CENTER FOR COMMUNITY AND YOUTH DEVELOPMENT,
Takoma Park, Maryland**

To provide field-tested tools, training, and technical assistance on youth engagement, evaluation, leadership and community building, in underserved communities, so that young people can increase effective civic engagement.

\$50,000

MEDIA ALLIANCE, Oakland, California

To support dotOrganize, a research and development initiative designed to identify and eventually address the technology needs for medium to small nonprofits, including youth organizing groups around the country.

\$70,000

MOVEMENT STRATEGY CENTER, Oakland, California

To support the national growth of the youth organizing field by providing alliance building, framing and PR training, facilitation of emerging alliances, and networking groups via issue based gatherings and an online community.

\$200,000

NATIONAL 4-H COUNCIL, Chevy Chase, Maryland

For the Youth in Governance Initiative which infuse youth into decision-making bodies and processes at every level of the 4-H organization, from local clubs to the national board of directors.

\$110,000

**NATIONAL COALITION OF COMMUNITY FOUNDATIONS FOR YOUTH,
Kansas City, Missouri**

To improve community foundations' capacity to engage youth directly in their work, and to explore ways to connect youth civic engagement activities to community foundations' goals and programs.

\$65,000

NATIONAL CONFERENCE ON CITIZENSHIP, Washington, DC

To support the development of a Civic Health Index, a rigorous measurement tool that can be used over time to track and compare civic progress, state by state.

\$50,000

NEW MEXICO COMMUNITY FOUNDATION, Albuquerque, New Mexico

To continue to build and connect a robust youth organizing and youth voice movement in the state of New Mexico, alongside the youth leadership infrastructure established by State government.

\$80,000

**NEW YORK UNIVERSITY - INSTITUTE FOR EDUCATION AND SOCIAL POLICY,
New York, New York**

To support and expand youth-led organizing for high school reform in New York City through the Urban Youth Collaborative, now made up of four core organizing groups who choose to coordinate strategies and campaigns.

\$80,000

ON THE MOVE, Napa, California

To develop and deliver leadership development and organizational capacity building to schools and youth serving organizations in Northern California.

\$75,000

PEW CHARITABLE TRUSTS, Washington, DC

To engage college students in an effort to identify and raise awareness of practical federal policy options to reduce the burden of student loan debt.

\$50,000

PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT, Berkeley, California

General support for an affinity group of grantmakers focused on understanding the full range of civic engagement opportunities: from service, to volunteering, to voting, to organizing.

\$75,000

RESEARCH FOR ACTION, Philadelphia, Pennsylvania

To continue to engage Philadelphia youth activists in research and development and public presentations related to school reform, and to publish reports and articles about the impact of youth on the development of small high schools.

\$50,000

RGK CENTER FOR PHILANTHROPY AND COMMUNITY SERVICE, Austin, Texas

To support the research and writing of a book examining the impact of national service programs on the young people who volunteer, the nonprofit organizations that rely on volunteers, and the communities in which the services are delivered.

\$20,000

SAN FRANCISCO STATE UNIVERSITY, San Francisco, California

To support the Research Collaborative on Youth Activism, which fosters a learning community of researchers focusing on youth activism and social change, and disseminates findings to policy makers and practitioners.

\$60,000

SCHOOL OF UNITY AND LIBERATION, Oakland, California

To develop a more effective generation of social change leaders by providing political education and youth organizing skills training to programs in the Bay Area and nationally.

\$70,000

SOUTHWEST NETWORK FOR ENVIRONMENTAL AND ECONOMIC JUSTICE, Albuquerque, New Mexico

To support the work of the Youth Leadership and Development Campaign, which networks youth organizers from over 50 groups throughout the Southwest.

\$75,000

UNIVERSITY OF WISCONSIN-MADISON, Madison, Wisconsin

To research and promote youth governance of nonprofit organizations and their service on public commissions.

\$65,000

URBAN YOUTH COLLABORATIVE, New York, New York

Support for the Urban Youth Collaborative's campaign to expand student voice and improve college access and safety in NYC high schools.

\$75,000

W. HAYWOOD BURNS INSTITUTE, San Francisco, California

To build the capacity of the Community Justice Network for Youth, which helps its members advocate for the reform of juvenile justice policies.

\$75,000

YOUTHBUILD, U.S.A., Somerville, Massachusetts

For a project to increase, amplify and improve youth voice within the Boston Public Schools.

\$75,000

YOUTHLINE AMERICA, New York, New York

To support the implementation of Youthline America's business plan, which will move the organization into its next stage of development.

\$70,000

YOUTHNOISE, San Francisco, California

To deepen and extend the action-orientation of YouthNOISE, a Website focused on the civic involvement of older teens.

(2 years) \$200,000

Subtotal - Young People Taking Direct Action	\$2,105,000
Subtotal - Strengthening The Groundwork For Meaningful Youth Involvement	\$2,280,000
TOTAL EFFECTIVE CITIZENRY GRANTS	\$4,385,000

EFFECTIVE CITIZENRY COMMITTEE

John F. Hawkins, *Chair*
Elizabeth H. Andrus
Pamela Brill
David Grant
Jee Kim
Josephine Lowman
John Lynagh
Frederick F. Moon, III
Robert Sherman
Edward Skloot
Michael S. Spensley, D.V.M.

Arts

Surdna's Arts Program is a national initiative focusing on arts and education.

Our goals are to:

- Contribute to teens' ability to explore their own identities, and their relationships to the world, through high-impact, long-term experiences creating art with accomplished professional artists.
- Deepen the ability of artists and arts organizations to contribute to the artistic expression of young people.

Toward these ends, the Foundation has selected two program themes for primary attention (target ages 12 to 18):

—— **Artist-Leaders And Young People: Creating Works Of Art** ——

Examples of our interests are programs in which:

Young people have increasingly complex opportunities to create art with accomplished artist-leaders; artists work with the same young people long-term, perhaps over three years or more, creating strong mentoring relationships; young people particularly gifted in an art form, who would otherwise be unprepared for a professional education track, gain the necessary skills for success; young people explore difficult personal or community issues through art-making with accomplished artists; arts, professional training, academic and community institutions, in various combinations, collaborate, strengthening both students and institutions; artists, as well as students, create art.

—— **Arts Institutions, Artists, And** ——

Specialized Public Arts High Schools: Augmenting Expertise

Examples of our interests are:

Creating intensive art-making programs that occur both in professional artists' studios and the schools; providing students with consistent, frequent access to an arts institution's strongest arts assets; providing teaching artists of high quality for the long term; creating professional development opportunities for arts teachers and administrators in arts magnet high schools; structuring comprehensive, long-term plans for ongoing institutional collaboration; providing students with opportunities to attend intensive summer arts programs.

See page 46 for one Foundation-administered initiative in support of this program theme.

In both programs, we seek to strengthen the quality of artmaking opportunities for teens, and expand access to these programs for students from underserved populations. Through special initiatives, we also aim to improve the arts training and support systems for young artists, and improve connections among arts training institutions.

Generally, we do not support:

Programs with the primary focus of:

- Serving elementary schools or children ages one to eleven;
- Providing general exposure and appreciation; expanding audience development;
- Using the arts specifically to enhance learning in non-arts areas;
- Helping artists create curriculum integrating the arts into other subject areas.

—— **Artist-Leaders And Young People: Creating Works Of Art** ——

52ND STREET PROJECT, New York, New York

To assist the Project in continuing to refine the curriculum of the two-year Teen Program, as well as restructuring other activities that lead up to and feed into the Teen Program.

\$30,000

ALONZO KING’S LINES BALLET, San Francisco, California

To provide young artists, especially youth from underserved communities, the opportunity to attend LINES Ballet School’s Summer Intensive Pre-Professional Program.

\$75,000

ANDY WARHOL MUSEUM, Pittsburgh, Pennsylvania

To support “Teaching the Creative Process: Artists Working with Young People,” featuring year-long artmaking collaborations among The Andy Warhol Museum, a master printmaking studio, and two area high schools.

(2 years) \$100,000

ARMORY CENTER FOR THE ARTS, Pasadena, California

To expand Art High, a mentoring and skill-development visual art program for teens, increasing the number of students served, training artists to work with teens, and establishing a new seminar class, the Art High Portfolio.

(3 years) \$225,000

ART CENTER COLLEGE OF DESIGN, Pasadena, California

To help Art Center College of Design increase and sustain the participation of underserved and/or financially disadvantaged youth throughout Los Angeles in the college's intensive Saturday High program for high school-age teens.

(2 years) \$150,000

ARTISTS COLLECTIVE, Hartford, Connecticut

To provide jazz music instruction to teens and young adults that includes instruction, composition, arranging, improvisation, master workshops, apprenticeship opportunities, paid summer employment, and performing opportunities.

(2 years) \$150,000

BROOKLYN YOUTH CHORUS, Brooklyn, New York

To support the Brooklyn Youth Chorus Academy's artistic growth, particularly by increasing chorister access to artists, expanding small-group musicianship training, standardizing its curriculum, and providing professional development to artist faculty.

(3 years) \$250,000

CHICAGO YOUTH SYMPHONY ORCHESTRAS, Chicago, Illinois

To advance the artistic quality and comprehensive curriculum of its advanced programs for teenage musicians: the Chicago Youth Symphony Orchestra, the Encore Chamber Orchestra, and the Chamber Music Program.

\$75,000

DETROIT SYMPHONY ORCHESTRA, Detroit, Michigan

To expand the reach of its two newest youth ensembles - Civic Philharmonia and Civic Jazz - and to strengthen its intensive partnership with the neighboring Detroit School of the Arts.

(2 years) \$175,000

DOWNTOWN COMMUNITY TELEVISION CENTER, New York, New York

To expand the capacity of its media arts training program, PRO-TV, while maintaining the high quality of the service delivered.

(2 years) \$100,000

JAZZ AT LINCOLN CENTER, New York, New York

To support the Essentially Ellington High School Jazz Band Program, which includes the Essentially Ellington High School Jazz Band Competition and Festival, and fosters mentoring relationships with professional jazz musicians.

(3 years) \$300,000

KENYON REVIEW, Gambier, Ohio

To strengthen Kenyon Review's Young Writers program, with special emphasis on increasing the enrollment of minority and underserved students through recruitment, application support, and follow-up.

(2 years) \$100,000

MATTRESS FACTORY, Pittsburgh, Pennsylvania

To support the Mattress Factory's teen program, Factory 14s, in which teens work with professional artists to conceptualize and create their own site specific installations and create a collaborative installation, to be presented at a public opening.

(2 years) \$50,000

MIAMI CITY BALLET, Miami Beach, Florida

To support Miami City Ballet School's Intensive Summer Program including program operational costs and scholarships based on talent and need.

\$75,000

MILL STREET LOFT, Poughkeepsie, New York

To support Mill Street Loft's *Art Institute* to help motivated career-minded teens of diverse backgrounds develop and express their ideas and build skills in the visual arts, through intensive, long-term work with accomplished professional teaching artists.

\$25,000

MILWAUKEE INSTITUTE OF ART AND DESIGN, Milwaukee, Wisconsin

To expand school-year Saturday programs to reflect the rigor of its intensive summer residency. It will increase/deepen assessment and access for economically challenged area high school students with year-round scholarships.

(2 years) \$125,000

MINNESOTA CENTER FOR BOOK ARTS, Minneapolis, Minnesota

To provide funding for By Design, which provides qualified teen artists with intense instruction and apprenticeship in the book arts and mentoring relationships with professional artists.

\$30,000

NEW JERSEY PERFORMING ARTS CENTER, Newark, New Jersey

To support the Jazz for Teens program, providing for faculty fees, new instruments, equipment upgrades, and student tickets to premier NJPAC jazz events.

(2 years) \$150,000

NEW YORK CITY OPERA, New York, New York

To conduct an in-depth assessment of City Opera's arts training programs for teens, and to develop a new program structure to be implemented over the next three years.

\$25,000

NEW YORK UNIVERSITY-TISCH SCHOOL OF THE ARTS, New York, New York

To support the Future Filmmakers Workshop and the Summer Filmmakers Workshop.

(3 years) \$240,000

**NORTHWESTERN UNIVERSITY - NATIONAL HIGH SCHOOL INSTITUTE,
Evanston, Illinois**

To allow students from difficult socio-economic circumstances to participate in the Music and Theatre Divisions of the summer Northwestern National High School Institute; to support ongoing mentoring of these students; and to encourage their continued study in college and beyond.

(3 years) \$105,000

PHILADELPHIA MURAL ARTS ADVOCATES, Philadelphia, Pennsylvania

To provide funding for two of the Mural Arts Program's projects: Big Picture, an extracurricular art education program for youth ages 10 to 14, and Mural Corps, an advanced program for older teens ages 14 to 18, to help them present a portfolio of their work.

(3 years) \$240,000

RAW ART WORKS, Lynn, Massachusetts

To enhance the quality of artmaking through expanded learning opportunities for young artists and their teachers in Adventures in Fine Arts and Real to Reel.

(2 years) \$70,000

SAN FRANCISCO BALLET ASSOCIATION, San Francisco, California

To support the pre-professional training programs of the San Francisco Ballet School, including Levels 7, 8 and the new Trainee Program.

(3 years) \$225,000

SAN FRANCISCO SYMPHONY, San Francisco, California

To support the San Francisco Symphony Youth Orchestra as it moves through the transition to its new Music Director, helping the director lead the young musicians to top quality music making.

(2 years) \$100,000

SAY SI (SAN ANTONIO YOUTH YES!), San Antonio, Texas

To provide support to SAY SI's afterschool high school visual arts program.

(3 years) \$90,000

SEATTLE THEATRE GROUP, Seattle, Washington

To support DANCE this..., a teen-focused training and performance program in contemporary dance, focusing on cross-cultural dance exchange and professional artists mentoring/instructing small groups of students.

\$50,000

SPHINX ORGANIZATION, Detroit, Michigan

To support the Junior Division Sphinx Competition and the summer Sphinx Performance Academy, providing professional development opportunities and access to consistent, high quality training for young Black and Latino classical musicians.

\$60,000

THE POINT COMMUNITY DEVELOPMENT CORPORATION, Bronx, New York

To support high quality arts training for teens at THE POINT through the ICP at THE POINT photography program and to provide general operating support.

\$50,000

UNIVERSITY OF CALIFORNIA, BERKELEY - YOUNG MUSICIANS PROGRAM, Berkeley, California

To implement a Jazz Infusion Education and Performance Program. Jazz Infusion will increase the students' capacity and their competitive advantage and deepen their overall musicianship.

(2 years) \$80,000

VILLAGE OF ARTS AND HUMANITIES, Philadelphia, Pennsylvania

To support Arts Challenge, a rigorous media art, spoken word/performance art, and visual art afterschool arts program annually serving youth between the ages of 13 - 19.

(2 years) \$100,000

YOUNG DANCEMAKERS COMPANY, Bronx, New York

To support tuition-free summer training and multiple performance opportunities for teens who want to create and perform their original choreography, in an ensemble drawn from New York City public high schools.

(2 years) \$40,000

YOUNG PEOPLE'S CHORUS OF NEW YORK CITY, New York, New York

To support and expand the core training and performance program of YPC's Concert Chorus and launch the "YPC Shuffle Initiative", which will engage young people in the creation and performance of choral music that mixes genres and involves multi-media artists.

(2 years) \$100,000

ZACCHO DANCE THEATRE, San Francisco, California

To support Zaccho Dance Theatre's Youth Company, and its intensive dance training programs which prepare students for this company. Zaccho provides aerial dance training and performing opportunities to the youth of San Francisco's Bayview Hunters Point district.

(3 years) \$60,000

**— Arts Institutions, Artists, and —
Specialized Public Arts High Schools**

BOSTON ARTS ACADEMY, Boston, Massachusetts

To continue its choreographer-in-residence series, and to begin an ongoing "faculty forum" to support the faculty creation of interdisciplinary artwork and intensive discussion about the artistic process.

\$50,000

Foundation-Administered Initiative

— **Surdna Arts Teachers Fellowship Program (SATF)** —

The Surdna Arts Teachers Fellowship Program supports the artistic revitalization of outstanding arts teachers in specialized, public arts high schools. Recognizing that such teachers often lack the time and resources to reconnect with the artistic processes they teach, the Program provides grants of up to \$5,000 to enable selected teachers to make art with professionals in their disciplines and stay current with new practices and resources. A complementary grant of \$1,500 is awarded to each Fellow's school to support related post-Fellowship activities. For details and deadlines, visit our Website at: www.surdna.org/artsteachersfellowship or e-mail: artsfellowship@surdna.org

The sixth round of Fellows selected are:

KELLY BURNETTE Manatee School for the Arts, Palmetto, Florida	(2 years) \$6,500
KATHRYN DEY South Carolina Governor's School for the Arts and Humanities, Greenville, South Carolina	(2 years) \$6,500
GERARD EBITZ New World School of the Arts, Miami, Florida	(2 years) \$6,500
PATRICIA ELAM Duke Ellington School of the Arts, Washington, DC	(2 years) \$6,500
KATHLEEN FOCHTMAN-GAMBS Orange County High School of the Arts, Santa Ana, California	(2 years) \$6,500
VICTOR FRAILING Virginia Governor's School for the Arts, Norfolk, Virginia	(2 years) \$6,500
JAMES GASIOR New World School of the Arts, Miami, Florida	(2 years) \$6,500
SCOTT GOULD South Carolina Governor's School for the Arts Foundation, Greenville, South Carolina	(2 years) \$6,500
SARAH HERSH Greater Hartford Academy of the Arts, Hartford, Connecticut	(2 years) \$6,500
GREGORY HOLT Boston Arts Academy, Boston, Massachusetts	(2 years) \$6,500
JENNIPHER LEWIS San Diego School of Creative and Performing Arts, San Diego, California	(2 years) \$6,500
PIT MENOUSEK PINEGAR Greater Hartford Academy of the Arts, Hartford, Connecticut	(2 years) \$6,500

FLORA PLUMB Los Angeles County High School For The Arts, Los Angeles, California	(2 years) \$3,800
GIANNA RUSSO Howard W. Blake Magnet High School of the Arts, Tampa, Florida	(2 years) \$6,500
DENISE SUSKA-GREEN Pittsburgh High School for the Creative and Performing Arts, Pittsburgh, Pennsylvania	(2 years) \$6,500
GUY TELEMAQUE Boston Arts Academy, Boston, Massachusetts	(2 years) \$6,500
DEBRA WALSH Greater Hartford Academy of the Arts, Hartford, Connecticut	(2 years) \$6,500
PATTI WATTERS Virginia Governor's School for the Arts, Norfolk, Virginia	(2 years) \$6,500
LISA WEITZMAN Capital Area School for the Arts, Harrisburg, Pennsylvania	(2 years) \$6,500
KERI WORMALD Shenandoah Valley Governor's School for the Arts and Humanities, Fishersville, Virginia	(2 years) \$6,500

For a description of the Fellows' professional development plans please see Surdna's Website at: www.surdna.org/artsteachersfellowship.

— **Expansion – On a pilot basis, in support of arts faculty at
non-specialized public middle and high schools, Surdna initiated
the following collaborations:** * —

**BOSTON PLAN FOR EXCELLENCE IN THE PUBLIC SCHOOLS FOUNDATION,
Boston, Massachusetts**
(Partnership with Boston Public Schools, The Fund for Teachers, and an anonymous donor)
Support for the Teachers as Artists grants program.

(3 years) \$150,000

MAINE COMMUNITY FOUNDATION, Ellsworth, Maine
(Partnership with Maine Alliance for Arts Education)
Support for the Maine Arts Teachers Fellowships.

(3 years) \$100,000

SAN FRANCISCO FOUNDATION, San Francisco, California
(Partnership with the Marin Community Foundation, East Bay Community
Foundation and the Peninsula Foundation) Support for the Bay Area Fund
for Artists Arts Teachers Fellowship Program.

(3 years) \$75,000

* In addition to supporting the arts faculty and their schools, these matching grants are intended to leverage ongoing support for arts teachers.

Subtotal - Artist-Leaders And Young People: Creating Works Of Art	\$3,645,000
Subtotal - Arts Institutions, Artists and Specialized Public Arts High Schools	\$225,000
Subtotal – Surdna Arts Teachers Fellowship Initiative	\$127,300
Subtotal – Surdna Arts Teachers Fellowship Initiative Collaborations	\$325,000
TOTAL ARTS GRANTS	\$4,322,300

ARTS COMMITTEE

Elizabeth H. Andrus, *Co-Chair*
Michael S. Spensley, D.V.M., *Co-Chair*
John E. Andrus, III
Kimberly Bartosik
Lawrence S.C. Griffith, M.D.
John F. Hawkins, *ex officio*
Sandra Kaupe
Ellen B. Rudolph
Edward Skloot
Edith D. Thorpe

Nonprofit Sector Support Program

The Nonprofit Sector Support Program seeks to strengthen the effectiveness of nonprofit institutions and to promote increased and improved philanthropy, in particular through efforts to:

- Strengthen nonprofit organizations by improving management, finance, leadership, and use of technology.
- Support the creation of new sources of philanthropic funds, catalyze discussion on good stewardship practices, and further effective collaboration between nonprofit organizations and philanthropy.
- Further the study of crosscutting issues such as tax exemption, commercialization, and decreasing public funding and to encourage broad collaboration within the sector – and among sectors – to develop effective public policy.
- Strengthen the nonprofit sector’s ability to clearly articulate and widely communicate its role in American public life.

In general, grants are not provided to build the management capacity of individual nonprofit organizations but rather, to improve the capacity of the sector itself.

The Nonprofit Sector Support Program is currently revising its program guidelines. Please refer to the Surdna Foundation Website to obtain the latest guidance on grant-making strategies.

AMERICAN UNIVERSITY - SCHOOL OF COMMUNICATION, Washington, DC

To support conference organization and activities for the Center of Social Media’s Making Your Documentary Matter and the Institute for Strategic Communication for Nonprofits conferences in 2006.

\$40,000

ARTS ENGINE, New York, New York

To help nonprofits, grassroots organizations, schools, and libraries use independent film and video to spur social change and encourage civic engagement.

\$50,000

ASPEN INSTITUTE: NONPROFIT SECTOR AND PHILANTHROPY PROGRAM, Washington, DC

To support research for, and widespread dissemination of, a book about America’s fast growth, high impact nonprofits which explores the attributes that enable top-ranked groups to significantly scale out their impact in the post-Civil Rights Era.

(2 years) \$50,000

BBB WISE GIVING ALLIANCE, Arlington, Virginia

General operating support to expand its Seal of Approval program and to deliver its Wise Giving Alliance approach in conjunction with local Better Business Bureaus.

\$50,000

BOARDSOURCE, Washington, DC

General support for activities in 2006 to increase the number of high-performing boards in nonprofit America.

\$50,000

CALVERT FOUNDATION, Bethesda, Maryland

To enable the Calvert Foundation to gather Program Related Investments to complete a \$12 million pool to finance Public Radio Capital.

\$20,000

CENTER FOR EFFECTIVE PHILANTHROPY, Cambridge, Massachusetts

To launch and execute Phase I of the Foundation Program Strategy Pilot Study. The study will examine foundation strategy development through an in-depth look at individual foundations' program and foundation-wide strategies.

(2 years) \$200,000

CHARITIES REVIEW COUNCIL OF MINNESOTA, Saint Paul, Minnesota

To enhance and promote the Council's new Accountability Wizard to nonprofits, and to develop and communicate the Smart Givers Network, a companion educational Website for donors.

\$25,000

CHITTENDEN COMMUNITY TELEVISION, Burlington, Vermont

To improve the capacity of Vermont's public, educational and government television channels to champion free speech, protect public access and promote civic discourse.

(2 years) \$100,000

DEMOCRACY IN ACTION, Washington, DC

Support to build out sufficient capacity for the demand for a sophisticated platform of online communications tools that support over 300 organizations.

\$50,000

DESKTOP ASSISTANCE, Helena, Montana

To support continued operation of the *ebase* community support site (<http://community.ebase.org>) and underwrite costs associated with marketing *ebase* memberships.

\$15,000

FOUNDATION CENTER, New York, New York

General support for the leading information source concerning foundations, corporate-giving programs and other grant-making organizations.

\$25,000

**FOUNDATION FOR NATIONAL PROGRESS (MEDIA CONSORTIUM),
San Francisco, California**

Support for The Media Consortium, a new collaboration among thirty independent media organizations, to encourage greater collaboration in business operations and editorial activities in order to increase the reach and impact of consortium members.

\$100,000

FUTURE OF MUSIC COALITION, Washington, DC

To continue engaging jazz and classical communities in critical policy issues that affect their livelihoods.

\$50,000

GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS, Washington, DC

Support for an organization serving foundation officials concerned about the effective management of nonprofit organizations.

\$50,000

GREEN MEDIA TOOLSHED, Washington, DC

To improve communications services, strategy and tools for the environmental movement, expand its membership and media contact database using extensive volunteer input, and enable it to take steps toward improving its organizational sustainability.

\$75,000

GUIDESTAR, Williamsburg, Virginia

Support for the preeminent online data source for information on charities and foundations in the United States.

(3 years) \$300,000

I DO FOUNDATION, Washington, DC

Support for the organization's efforts to grow its charitable wedding programs to scale, harnessing for charities some portion of the generosity expressed around weddings and other significant life events.

\$50,000

ILLINOIS FACILITIES FUND, Chicago, Illinois

To disseminate Getting It Right: How Illinois Nonprofits Manage for Success and facilitate a response by Illinois nonprofits to the report's recommendations.

\$30,000

INDEPENDENT PRESS ASSOCIATION, San Francisco, California

To support the IPA's Greenhouse division which provides management assistance to organization's members, especially those seeking loans in the Independent Press Development Fund.

\$75,000

INDEPENDENT SECTOR, Washington, DC

General operating support for this leadership organization representing the nonprofit sector.

\$100,000

LINK MEDIA, San Francisco, California

To support its development department, providing for expansion and improvement of fundraising activities, the success of which allows Link Media to remain a critical independent player in broadcast media.

\$150,000

MINNESOTA COUNCIL OF NONPROFITS, St. Paul, Minnesota

For the Minnesota Council of Nonprofits' Repositioning Project, which seeks to build the capacity of the nonprofit sector to strengthen its community relationships and influence with its primary constituents, the media, and the general public.

(3 years) \$150,000

NATIONAL ALLIANCE OF MEDIA ARTS CENTERS, San Francisco, California

To support the Research and Development Phase (Phase 1) of Mapping the Field, a comprehensive census and searchable database about the independent media arts sector.

\$35,000

NATIONAL CENTER FOR FAMILY PHILANTHROPY, Washington, DC

To support the work of the National Center for Family Philanthropy as it strives to encourage and facilitate charitable giving by providing the best tools and resources available for donors and philanthropic families.

\$50,000

NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY, Washington, DC

To provide general operating support, which will strengthen NCRP's research and advocacy agenda.

\$50,000

NATIONAL COUNCIL OF NONPROFIT ASSOCIATIONS, Washington, DC

To promote state and federal policies that support nonprofits by increasing the ability of nonprofits to engage in the policy process, primarily through state associations.

(3 years) \$150,000

NET IMPACT, San Francisco, California

To serve the nonprofit sector through the continued expansion of the Service Corps program and through the implementation of a nonprofit board matching program, providing talented young professionals to nonprofit boards.

\$65,000

NETHOPE, Sunnyvale, California

To provide general operating support, enabling NetHope to improve the quality and efficiency of members' collaboration and knowledge sharing and to begin leveraging this knowledge across the nonprofit sector for broader benefit to non-members.

\$50,000

NETWORK FOR GOOD, Bethesda, Maryland

Support for the integration costs associated with merging Network For Good and Groundspring.

\$50,000

NEW YORK REGIONAL ASSOCIATION OF GRANTMAKERS, New York, New York

To underwrite a strategic planning process for 2006-2009.

\$50,000

NONPROFIT TECHNOLOGY ENTERPRISE NETWORK, San Francisco, California

General operating support for N-TEN's efforts to make nonprofits more effective through the use of technology.

\$75,000

PROMETHEUS RADIO PROJECT, Philadelphia, Pennsylvania

To expand the current range of support for maturing low power radio stations, and to develop and launch a new community education campaign around national spectrum allocation reform efforts.

\$25,000

PUBLIC RADIO CAPITAL, Englewood, Colorado

To help expand significantly the scope and capacity of Public Radio Capital to conserve and expand scarce and valuable locally-owned public media assets that are critical to maintaining our democratic traditions.

(2 years) \$200,000

RGK CENTER FOR PHILANTHROPY AND COMMUNITY SERVICE, Austin, Texas

To support the research and writing of a new book that examines the impact of national service programs on the young people who volunteer, the nonprofit organizations that rely on volunteers, and the communities in which the services are delivered.

\$20,000

SHARE OUR STRENGTH, Washington, DC

To evaluate Community Wealth Collaboratives - regional business incubators in which nonprofits develop business plans and launch ventures.

\$25,000

SOCIALRETURNS, Tenafly, New Jersey

To provide general support for the creation of SocialReturns, a new organization that will operate the Social Enterprise Business Plan Competition and the University Consortium on Social Enterprise and Entrepreneurship.

\$50,000

TOUCH DC, Washington, DC

Support for TouchDC which helps nonprofits in the DC Metro region to raise money and recruit volunteers by providing prospective donors the power to research thousands of local nonprofits.

\$50,000

UNITED NEIGHBORHOOD CENTERS OF AMERICA, Milwaukee, Wisconsin

To underwrite the affiliation of UNCA with Families International, Inc., including transitional and infrastructure costs, and to integrate membership programs with the services of the Alliance for Children and Families.

(3 years) \$60,000

URBAN STRATEGIES COUNCIL, Oakland, California

Support for an ongoing initiative to assist nonprofits to develop a framework to assess technology investments; assess current technology tools; and develop an online database of technology tools: Techtools.org.

\$50,000

VOLUNTEERMATCH, San Francisco, California

General operating support to continue to provide its online service to a growing community of nonprofit organizations and interested volunteers.

\$100,000

WNYC RADIO, New York, New York

To enable WNYC to conduct and disseminate a feasibility study for the development of a cultural portal featuring content from WNYC's programming, as well as that of other cultural institutions.

\$60,000

YOUTHNOISE, San Francisco, California

To deepen and extend the action-orientation of YOUTH NOISE through its soon to launch Cause and Issue Channels using a combination of site enhancements and youth outreach, editorial training and leadership development.

(2 years) \$200,000

TOTAL NONPROFIT SECTOR SUPPORT GRANTS

\$3,220,000

NONPROFIT SECTOR COMMITTEE

Frederick F. Moon, III, *Chair*

John F. Hawkins

Josephine Lowman

John Lynagh

Nadya K. Shmavonian

Edward Skloot

Vince Stehle

— **Organizational Capacity Building Grants for Surdna Grantees** —

Organizational capacity building grants are designed to strengthen the organizational capacity of nonprofit organizations. **Current and past Surdna grantees only** are eligible to apply for grants of up to \$15,000 to address important management and governance issues that can be handled with a small amount of money in a short period of time. Eligible projects address issues that include board and staff development, marketing, strategic planning, strategic restructuring, and communication.

Up to 20 grants will be made in fiscal year 2007 (ending June 30, 2007). To apply, the chief executive officer of the organization should fill out an application online, available at <http://www.surdna.org>.

If the grant is approved, we will request a work plan and consultant qualifications before payment is made. A final report will be required.

We will make every effort to respond within three weeks of receipt of the request.

Andrus Family Fund

The Andrus Family Fund, a sub-fund of the Surdna foundation, was established in 2000 to give fifth generation family members, between the ages of twenty-five and forty-five, an opportunity to learn about and participate in organized philanthropy. While AFF operates under the 501(c)(3) status of the Surdna Foundation, AFF defines and manages its own grantmaking program and process.

AFF's grantmaking is guided by the belief that social change efforts will have a better chance for success when the emotional and psychological effects of the change process are recognized and addressed. Bill Bridges, a noted author and organizational consultant, calls this psychological process that a person experiences when they come to terms with a new situation Transition. AFF's Board and staff have adopted Bridges' framework which states that transition is fundamentally different from change. Where change is external and situational (i.e., marriage, a new job), transition is the internal process of how one responds to the change.

AFF examines the power of the transition model as it applies broadly to the area of social change, and as it applies specifically to its two program areas: (1) youth's passage from foster care to independence; and (2) community reconciliation, which supports programs that promote healing, and construct a shared vision of community that is founded on justice and that respects difference. AFF focuses its grantmaking around these program areas while also seeking to learn about the relationship between external change and internal transition.

In the area of youth's passage from foster care to independence, AFF supports programs that contribute to the body of knowledge and experience about what youth need to sustain an independent life after foster care by paying attention to transition. In particular, towards the end of foster care, AFF will explore whether attending to transition more purposefully increases the chances that youth can successfully move out of state care into independent living. AFF's goal is to partner with organizations who are willing to intentionally and consistently attend to the transitions at work in the lives of youth leaving the foster care system. Within this framework, AFF includes, but does not limit itself to, considering programs that support gay, lesbian, bi-sexual, transgendered, intersex, and questioning youth, for whom leaving the foster care system may be particularly difficult due to a sense of isolation because of their sexual identity.

In the community reconciliation area, AFF is interested in exploring the connection between the transition model and community reconciliation in the context of: 1) identity-based conflict; 2) police-community conflict; and 3) conservation conflict. AFF will fund community reconciliation projects within the United States that put William Bridges' transition framework to the test in addressing these three priority areas. AFF's grantees incorporate Bridges' transition process into their projects to help communities successfully navigate through the 3 phases of transition and support the necessary healing and reconciliation process.

AFF only funds domestic programs; however the fund will consider support for international organizations conducting inquiry that contributes to the body of knowledge and experience about what is necessary to create and sustain successful community reconciliation efforts in the U.S.

For more information on William Bridges' Transition Framework, applying for funding, and descriptions of AFF grants made during the 2006-2007 fiscal year, please visit the AFF Website at www.affund.org. Applications for AFF funding should not be sent to the Surdna Foundation.

— Surdna Foundation, Inc. —
Financial Summary
July 1, 2005 through June 30, 2006

GRANTS

Environment Grants	\$8,805,000
Community Revitalization Grants	5,750,000
Effective Citizenry Grants	4,385,000
Arts Grants	4,322,300
Nonprofit Sector Grants	3,220,000
Organizational Capacity Grants	140,000
Andrus Family Fund Grants	2,423,130
Miscellaneous and Other Grants	1,294,259
Total Grants Authorized - July 2005 to June 2006	\$30,339,689
Support for the Julia Dyckman Andrus Memorial, Yonkers, New York	\$1,600,000
TOTAL GRANTS	\$31,939,689
Memberships	97,727
GRAND TOTAL	\$32,037,416

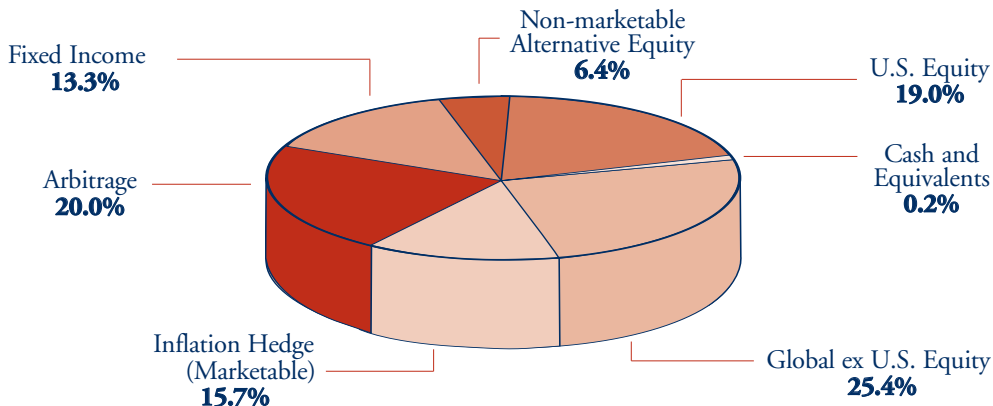
EXPENSES

Operating and Administrative Expenses	\$5,471,735
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ENDOWMENT ASSETS

U.S. Equity	\$158,239,981
Global ex U.S. Equity	212,356,990
Inflation Hedge (marketable)	130,837,655
Arbitrage	166,729,780
Fixed Income	110,757,689
Non-marketable Alternative Equity	53,496,799
Cash and Equivalents	1,483,272

ENDOWMENT - Market Value at June 30, 2006 **\$833,902,166**



Grant Application Procedures

Surdna generally follows a two-stage application process – letter of inquiry, and full proposal. Because of the large number of requests Surdna receives and the amount of time it takes for an applicant to develop a full grant proposal, applicants are asked to send full proposals only when requested by a Foundation staff member. The first step for most applicants, therefore, is sending Surdna a letter of inquiry.

—— Letter of Inquiry ——

Once you have determined that there is a match between your request and the Foundation’s mission and program goals, you may submit a Letter of Inquiry. The purpose of the Letter of Inquiry is to determine if an organization and its proposal fit within Surdna’s guidelines before the applicant expends resources to put together a full proposal.

We strongly recommend that prospective applicants submit letters of inquiry online, available on our Website at: www.surdna.org/apply. If you are unable to submit your request online you may send your letter of inquiry by mail (see instructions below).

—— Full Proposal ——

A full proposal should only be sent if a Surdna staff member has requested that your organization do so. Full proposals must be submitted online.

—— Applying By Mail: ——

Prospective applicants should submit a letter of inquiry (type "Letter of Inquiry" at the beginning of the letter) and include the following information:

Organization Information:

- Name of Organization
- Address
- Telephone and Fax Numbers
- Website address
- Tax ID Number
- Annual organizational budget
- Organization’s mission/background

Contact Information: (if the primary contact person for the organization is different from the contact person for this project, please include this information for both people):

- Name (including prefix)
- Title
- Telephone (if different from organization's telephone)
- E-mail address

Request Information:

- Program area to which you are applying. If you feel your project fits into more than one program area, you may list more than one.
- Program abstract: A one-two sentence description of the project
- Total request amount
- Duration of the grant requested
- Project budget
- Project description. In addition to describing the program, include information about the principal outcome(s) expected, the names and qualifications of the key personnel who will be responsible for the program, and funding received to date for the program, as well as sources from which funding is being sought.

Organizations that have received funding from Surdna may apply for continued support. If you intend to apply for additional support you must inform us in writing within 8 months of the receipt of your grant. Please note that organizations are eligible for a maximum of three consecutive years of funding.

We do not generally fund individuals, capital campaigns, building construction, or projects that are internationally based or focused.

There are no deadlines for letters of inquiry; they are accepted on an ongoing basis. However, our board meets three times per year: in February, May and September to consider grants. Letters of inquiry should be sent 3-4 months ahead of time for staff review.

Upon receipt of the letter of inquiry, we will issue a notification of our 90-day consideration period. During that time we will make every effort to respond promptly and cordially to the request. We will work in collaboration with applicants and will move as expeditiously as possible to achieve our mutual goals.

Correspondence should be addressed to:

Edward Skloot, President
Surdna Foundation, Inc.
330 Madison Avenue, 30th Floor
New York, New York 10017-5001
E-mail: request@surdna.org

Directors and Officers

Elizabeth H. Andrus
John E. Andrus III, Chairman Emeritus
Pamela Brill*
David Grant
Lawrence S.C. Griffith, M.D.
John F. Hawkins, Chairperson
Sandra T. Kaupe**
Josephine Lowman
John J. Lynagh, Secretary
Frederick F. Moon III, Treasurer
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Michael S. Spensley, D.V.M., Vice Chairperson
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**Until September , 2006*

***Until November, 2006*

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Hooper L. Brooks, Program Director for Environment
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Jee Kim, Program Officer for Effective Citizenry
Dara Major, Director for Planning and Strategic Initiatives
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SURDNA FOUNDATION, INC.

330 Madison Avenue, 30th Floor

New York, NY 10017-5001

Phone: (212) 557-0010

Fax: (212) 557-0003

www.surdna.org